

## C1 Align JV - Tiered Prequalification Model - Supply Chain Assurance Standard.



**SAFETY**  
EVERYONE HOME SAFE  
AND WELL EVERY DAY



**RESPECT**  
MINDFUL AND INCLUSIVE OF DIVERSE  
VIEWS, OPINIONS AND CULTURES



**EXCELLENCE**  
INNOVATIVE TO EXCEED THE EXPECTATIONS  
OF OUR CUSTOMERS AND STAKEHOLDERS



**INTEGRITY**  
STAKEHOLDER RELATIONSHIPS THAT  
ARE BUILT ON TRUST

**Leadership**



**COLLABORATION**  
ONE TEAM WORKING TOGETHER FOR  
THE GOOD OF THE PROJECT

| ALIGN Level                | PAS91  |              |                            | SSIP  | Mandatory Requirements  | Minimum Additional due diligence required to manage risk   | Trade Profile   | Supply Chain that MUST comply  |
|----------------------------|--|--------------|----------------------------|---|---|--|---|--|
|                            | Construction-line  | CHAS         | Achilles                   |   |   |  |   |  |
| <b>Bronze</b>              | Bronze   | N/A          | N/A                        | N/A   | <p>Suppliers must be FORS Silver and CLOCS Registered if using own logistics fleet, or use of certified logistics provider.</p> <p><i>*If not already held, FORS Silver status must be obtained within 6 months of working with ALIGN .</i></p> <p>All Suppliers must have the following policies: Health and Safety, Environmental, EDI/SEE, Anti-Bribery &amp; Corruption, Quality, Modern Day Slavery and GDPR.</p> <p>All construction materials must be supplied by ISO9001 certified suppliers.</p> <p>Procurement team to undertake a full due diligence in line with Common Assessment Standard (CAS) i.e. PAS91 core question set.</p> | <p>Subcontractor does not have responsibility for design. If the order includes design responsibility, then the Subcontractor must meet Silver or Gold depending on the order value.</p>   | <p><b>Supply of Low Risk Services</b></p> <ul style="list-style-type: none"> <li>•Fire Consultancy/Extinguishers</li> <li>•Outside Viaduct Precast Facility</li> <li>•Laboratory</li> <li>•Water Connections</li> <li>•Temporary Office Requirements</li> <li>•Vehicle Barriers</li> <li>•Wheel Wash Equipment</li> <li>•Pond Lining</li> <li>•Site Access Design Services</li> <li>•Drug Testing Services</li> <li>•Pedestrian Footbridge</li> <li>•Inside Viaduct Precast Facility</li> <li>•Inside Tunnel Segment Facility</li> <li>•Outside Tunnel Segment Facility</li> <li>•Signage Roads</li> <li>•Precast Concrete Bridge Frames</li> </ul>   | <p>All suppliers/ subcontractors must demonstrate sound financial standing</p> <p>For all packages, a Credit / Financial check will be carried out as part of the prequalification process, to assess financial stability.</p> <p>Align will conduct capacity analysis to ensure each tenderer is not overexposed in terms of annual contract value vs turnover.</p> |
| <b>Silver</b>              | Silver   | Premium      | N/A                        | <p>Membership of any of the registered schemes (as appropriate)</p> | <p>All suppliers/ subcontractors must adhere to the above Mandatory Requirements, as well as the below.</p> <p>All suppliers must have a Corporate Social Responsibility policy.</p> <p>SSIP Scheme approved.</p> <p>Suppliers/subcontractors should be a Bronze member of the Supply Chain Sustainability School. Subcontractor requirements are Constructionline silver, CHAS Premium as a minimum standard.</p>  | <p>Applicable to design and non-design orders depending on value/ risk.</p>  | <p><b>Supply of Medium Risk Services</b></p> <ul style="list-style-type: none"> <li>•Site Security</li> <li>•CCTV</li> <li>•Main Office and CVV Welfare</li> <li>•Buildings to Main Compound (Sheds)</li> <li>•Overnight Accommodation</li> <li>•Trade Merchants</li> <li>•Catering Services</li> <li>•Supply of Office Supplies and Consumables.</li> <li>•PPE</li> <li>•Skips and Waste</li> <li>•Electrical Connections</li> <li>•Plant Hire/ Supplies</li> <li>•Grouting Plant</li> </ul>   | <p>Applies to plant suppliers, small (SME) subcontractors and all sub-tier subcontractors</p>  |
| <b>Gold &amp; Platinum</b> | <p>Gold or Platinum</p> <p>Site-Based Assessment Constructionline Platinum</p> | Premium Plus | Achilles BC+ desktop Audit | N/A Covered by PAS91  | <p>All suppliers/subcontractors must adhere to the above Mandatory Requirements, as well as the below.</p> <p>All suppliers must be certified to OHSAS18001, ISO140001, ISO9001 &amp; BES6001.</p> <p>Suppliers/subcontractors should be a Silver member of the Supply Chain Sustainability School.</p> <p>FORS Gold and CLOCS Registered if using own logistics fleet, or use of certified logistics provider.</p> <p>Additional BIM/Design Standards may be required dependent upon the package of works.</p>   | <p><b>Insurances:</b> Subcontractor insurances to be uploaded and assessed as part of ALIGN JV PQQ</p> <p><b>Design:</b> Designer competence Assessment required to be completed and approved for all order that include design.</p> <p>Plant Hire suppliers must be CPA members.</p> <p>Scaffolding subcontractors must be NASC approved.</p> <p>Suppliers of rebar must hold CARES certification.</p> <p>All suppliers or subcontractors wishing to provide products or services in a live rail environment must be Link Up or RISQS</p> <p>MEWPs must be fitted with secondary guarding and anti-crush devices.</p> <p>Security labour providers must supply staff with an SIA License.</p> <p>All suppliers providing bridge related structural steel work must be RQSC approved.</p> <p>All suppliers of utilities services must be UVDB approved.</p> <p>Further trade specific requirements may be required for other packages.</p> | <p><b>Supply of High Risk Services</b></p> <ul style="list-style-type: none"> <li>•Gas, Electricity and Water Supply</li> <li>•Carousels</li> <li>•Cooling Plant</li> <li>•Temporary Electrical Distribution</li> <li>•Fencing (Permanent)</li> <li>•Tower Cranes</li> <li>•Tunnel Segments</li> <li>•Segment Moulds</li> <li>•Ancillaries Materials</li> <li>•Thrust Frame</li> <li>•Civil Works – Substructure</li> <li>•Main Compound Structural Steel Frame</li> </ul> <p><b>Supply of High Risk (safety or design) Subcontract Packages</b></p> <ul style="list-style-type: none"> <li>•Demolition works</li> <li>•FRC works</li> <li>•Bulk Earthworks</li> <li>•Structural Steelwork</li> <li>•Bored Piling</li> <li>•Slurry Treatment Plant</li> <li>•Tunnel Boring Machines</li> <li>•Pre-Cast Segments</li> <li>•Launching Girders</li> <li>•Noise Barriers</li> <li>•Jetty</li> <li>•Piling</li> <li>•Steelwork</li> <li>•Precast Planks</li> </ul> | <p>Applies to all medium / Large subcontractors and High-Risk project requirement</p>  |